

# Exams policy



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Trustees**

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Electronic receiving of papers.

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## 1. Introduction and aims

Our school is committed to ensuring that exams are managed and administered effectively.

The aim of this policy is to ensure:

The planning and management of exams is conducted in the best interest of candidates

Our system of exams administration is efficient and clear, and staff and pupils understand what is required and expected of them

We comply with requirements and guidance set out by the Joint Council for Qualifications (JCQ) and awarding bodies

## 2. Roles and responsibilities

### 2.1 Everyone

This policy must be read, understood, and implemented by everyone involved in our exam processes, including staff and pupils.

### 2.2 Head of centre

The head of centre:

Has overall responsibility for the school as an exam centre

Is responsible for ensuring that all suspected or actual incidents of malpractice are reported, in line with the JCQ guidance on [malpractice in examinations and assessments](#)

In accordance with GDPR, authorisation to share information relating to access arrangements with third parties such as JCQ Inspectors.

Our head of centre is Sarah Nichols-Weaver.

### 2.3 Exams officer

The exams officer is responsible for the administration of exams. They:

Manage the administration of internal and external examinations.

Advise the senior leadership team (SLT), subject and class tutors, and other relevant support staff on annual exams timetables and procedures as set by awarding bodies

Oversee the production and distribution of an annual calendar for all exams in which candidates will be involved and communicate regularly with staff about imminent deadlines and events. This calendar must be provided to all staff and candidates

Ensure that candidates and their parents/carers are informed of, and understand, aspects of the exam timetable that will affect them

Check with teaching staff that the necessary coursework and/or controlled assessments are completed on time and in accordance with JCQ guidelines

Provide and confirm detailed data on estimated entries

Maintain systems and processes to support the timely entry of candidates for their exams

Receive, check and securely store all exam papers and completed scripts, and ensure that scripts are dispatched as per the guidelines

Administer access arrangements and make applications for special consideration following the regulations in the JCQ [guidance on the special consideration process](#)

Identify and manage exam timetable clashes

Account for income and expenditures relating to all exam costs/charges

Line manage the senior exams invigilator in organising the recruitment, training and monitoring of a team of exams invigilators responsible for the conduct of exams

Ensure candidates' coursework/controlled assessment marks are submitted correctly and on schedule, along with any other material required by the awarding bodies

Track, dispatch and store returned coursework/controlled assessments

Arrange for dissemination of exam results and certificates to candidates, and forward, in consultation with the SLT, any post-results service requests

Report all suspected or actual incidents of malpractice, in line with the JCQ guidance on suspected malpractice in examinations and assessments

Advise on appeals and re-marks

Our exams officer is Camelia Rat

## **2.4 Heads of Subjects**

Heads of subjects are responsible for:

Advising the exams officer of any changes to syllabus or assessment details for their subjects

Advising the exams officer of entries for their subjects

Guidance and pastoral care for candidates who are unsure about exams entries or amendments to entries

Accurately completing entry and mark sheets, and adhering to deadlines as set by the exams officer

Accurately completing coursework/controlled assessment mark sheets and declaration sheets

Decisions on post-results procedures

## **2.5 Teachers**

Teachers are responsible for:

Supplying information about entries, coursework and controlled assessments as required by the head of subject.

## **2.6 Special educational needs co-ordinator (SENCO)**

The SENCO is responsible for:

Identifying and testing candidates' requirements for access arrangements and notifying the exams officer in good time so that they can put exam day arrangements in place

Processing any necessary applications to gain approval (if required)

Working with the exams officer to provide the access arrangements required by candidates in exam rooms

Our SENCO is Mariam Joseph

## **2.7 Lead invigilator(s)**

The lead invigilator(s) are responsible for:

Assisting the exams officer to run exams efficiently, according to JCQ regulations

Collecting exam papers and other material from the exams office before the start of the exam

Collecting all exam papers in the correct order at the end of the exam and ensuring they're returned to the exams office

Our lead invigilator is Sarah Nichols-Weaver.

## 2.8 Candidates

Candidates are responsible for:

Confirming and signing entries

Understanding coursework/controlled assessment regulations, and signing a declaration that confirms the coursework to be their own

Ensuring they conduct themselves in all exams according to the JCQ regulations

Internal candidates:

The identity of students on roll at KWS School is checked as part of the initial registration process. The school Business Manager checks and verifies each student's information provided by their previous school using CTF (Common File Transfer), an online secure service.

Students will be called into the examination room according to the seating plan, and the seating plan will be adhered to. Teaching staff will be outside of the examination room, ensuring that students' registration process is taken and identity confirmed. The senior leader present in the Exam room before the start of the exam will ensure seating plans are filled with the correct candidates before the start of the exam. Any students missing will be investigated.

## 3. Qualifications offered

Senior leadership team decides the qualifications we offer.

We offer the following types of qualifications:

Functional skills, GCSE, entry level qualifications, ASDAN.

The subjects offered for these qualifications in any school year may be found on our website.

If there is a change to a specification for the next year, the exams office must be informed by January

Informing the exams office of changes to a specification is the responsibility of the head of centre.

The subject teacher, in consultation with the teachers and the SENCO, will decide whether a candidate should be entered for a particular subject.

## 4. Exam series

Internal exams (mock or trial exams) and assessments are scheduled in the following exam series:

December

External exams and assessments are scheduled in the following exam series:

November, January, March and June.

Internal exams are held under external exam conditions.

The head of centre decides which exam series are used in the centre.

The centre does not offer assessments on an on-demand basis.

## 5. Exam timetables

Once confirmed, the exams officer will circulate the exam timetables for internal and/or external exams at a specified date before each series begins.

## 6. Entries (including entry details and late entries)

Candidates or parents/carers cannot request subject entry, change of level or withdrawal.

We do not accept entries from private candidates.

We do not act as an exams centre for other organisations.

Entry deadlines are circulated to heads of subject via briefing meetings

Heads of subject provide estimated entry information to the exams officer to meet JCQ and awarding body deadlines.

Entries and amendments made after an awarding organisation's deadline require authorisation, in writing, by the head of subject.

### 6.1 Re-sits

We allow re-sits for the following types of qualifications:

- GCSEs

- BTECs

- Principle learning

- Functional skills

Re-sit decisions will be made by heads of subject in consultation with head of centre

## 7. Exam fees

Candidates or departments will not be charged for changes of tier, withdrawals made by the proper procedures or alterations arising from administrative processes, provided these are made within the time allowed by the awarding bodies.

The exams officer will publish the deadline for actions well in advance of each exams series.

You **may** charge for:

- Exams or re-sits the pupil has not been prepared for at school, even if they are on the list of prescribed public examinations

- Exams not on the set list of prescribed public examinations

- A pupil, if they fail, without good reason, to complete the requirements of a public exam where the school originally paid the entry fee

- Withdrawal from an exam (where charges would be applied by an exam board), if the parent/carer requests withdrawal

Candidates may be charged for the following:

QUALIFICATION TYPE	WHEN CANDIDATES WILL BE CHARGED	COSTS
GCSEs	Missed exams (without medical or other extenuating circumstances)	Missed exams: dependent on charges levied by the exam board
Functional Skills	Missed exams (without medical or other extenuating circumstances)	Missed exams: dependent on charges levied by the exam board

We may waive these fees in certain circumstances. If you would like to discuss waiving relevant fees, please contact head of centre.

## 8. Equalities

All our staff must ensure that they meet the requirements of any equality legislation.

We will comply with the legislation, including making reasonable adjustments to the service that we provide to candidates in accordance with the requirements defined by the legislation, awarding bodies and JCQ. This is the responsibility of the head of centre, the SENCO.

## 9. Access arrangements

The SENCO will inform subject teachers of candidates with special educational needs (SEN) and any special arrangements that individual candidates will need during the course and in any assessments/exams.

A candidate's access arrangements requirement is determined by the SENCO

Ensuring there is appropriate evidence for a candidate's access arrangement is the responsibility of SENCO

Room arrangements for candidates using access arrangements will be organised by Head of Centre

Invigilation and support for candidates using access arrangements, as defined in the [JCQ access arrangements regulations](#), will be organised by Head of centre

### 9.1 Use of word processors

We may allocate the use of a word processor to a candidate as part of access arrangements or as a reasonable adjustment where appropriate, including:

When it is their normal way of working, i.e. where the curriculum is delivered electronically and word processors are provided to all candidates

If they need support with handwriting

If they have a:

- Physical disability
- Learning difficulty that has a substantial and long-term adverse effect on their ability to write legibly
- Medical condition
- Sensory impairment

The SENCO will use their judgement, in collaboration with relevant members of staff where necessary, to make decisions about when a word processor is appropriate outside the specific listed examples above.

We will make sure that:

The proposed arrangement will not disadvantage or advantage a candidate.

The use of a word processor will not compromise the assessment objectives or the integrity of the assessment and will be used under secure conditions. Where these objectives would be compromised, then a word processor will not be granted for use by a candidate

We will also make sure that the word processor:

Has the spelling and grammar check and predictive text software disabled

Does not have internet connectivity during the exam

Has been cleared of any previously stored data, along with any portable storage medium used (and where any authorised memory stick is provided to or used by the candidate, the memory stick will be cleared of any previously stored data)

Does not allow access to other applications such as a calculator (where prohibited), spreadsheets or emails

Does not have graphic packages or computed-aided design software, unless permission is given

Does not have computer reading (text to speech) software, unless the candidate has permission

Does not have speech recognition technology, unless the candidate has permission

The word processor will not be used on the candidate's behalf by a third party unless the candidate has permission to use a scribe.

All equipment will be checked to make sure it's in working order and sufficiently charged for the duration of the examination.

Candidates provided with the use of a word processor will be accommodated in such a way that other candidates are not disturbed and cannot read the screen. Where we accommodate a candidate in another room, a separate invigilator will be present.

Candidates will be:

Reminded to make sure that the centre number, candidate number and the unit/component code appear on each page as a header or footer, or to handwrite their details on the printed documents where this feature is not available (where the candidate needs to handwrite their details, they will be supervised to make sure that's solely what they're doing and not re-reading their answers or amending their work in any way)

Reminded to save their work at regular intervals, unless 'autosave' has been set up on the device to make sure that the candidate's work is not lost because of a technical issue

Advised to use a minimum font size of 12pt and double spacing

Each page of the typed script will be numbered.

The word processor will be connected to a printer so that the script can be printed off and will be done once the examination is over. The candidate must be present to verify that the work is their own and then the script will be attached to any answer booklet that contains some of the answers. We may also be required by the awarding body to include a word processor cover sheet with the candidate's typed script.

We may retain electronic copies of a word-processed script and this may be accepted by an awarding body where the printed copy has been lost and it is demonstrated that the file has been kept securely.

## 10. Contingency planning

Contingency planning for exams administration is the responsibility of the head of centre.

Contingency plans are available via school intranet. and are in line with the [guidance provided by Ofqual, JCQ](#) and awarding organisations.

## 11. Estimated grades

Subject heads are responsible for submitting estimated grades to the exams officer when requested.

## 12. Managing invigilators

External staff may be used to invigilate examinations.

These invigilators will be used for external exams.

All schools continue with:

Recruitment of invigilators is the responsibility of the head of centre.

If invigilators require Disclosure and Barring Service (DBS) checks, head of centre is responsible for obtaining these.

DBS fees are paid by the centre.

Invigilators rates of pay are set by school business manager.

Invigilators are recruited, timetabled, trained and briefed by the head of centre.

## 13. Malpractice

The head of centre, in consultation with the exams officer, is responsible for ensuring that suspected malpractice is thoroughly investigated.

## 14. Exam days

The exams officer will:

- Book all exam rooms (after liaising with other relevant users)

- Make question papers, exam stationery and materials available for the invigilator

Site management staff are responsible for setting up the allocated rooms, and will be advised of requirement 7 days in advance.

The head of centre, exams officer and invigilators will start and finish all exams in accordance with [JCQ guidelines](#).

Subject staff may not be present at the start of the exam to assist with identification of candidates. Any staff present must be in accordance with the rules defined by JCQ concerning who is allowed in the exam room and what they can do.

In practical exams, subject teachers' availability will be in accordance with [JCQ guidelines](#).

Exam papers must not be read by subject teachers or removed from the exam room before the end of a session. Papers will be distributed to heads of subject in accordance with JCQ's recommendations and no later than 2 hours after candidates have completed them.

After an exam, the exams officer will arrange for the safe dispatch of completed exam scripts to awarding bodies, working in conjunction with invigilators and school support staff.

Where papers are to be downloaded for the exam board for the purposes of coloured paper or enlargements. Papers will be downloaded via a PDF through the awarding body secure portal, supported by 2 factor identification. These electronic files can be accessed by the Head of Centre and the Exams Officer.

## 15. Candidates

The exams officer will provide written information to candidates in advance of each exam series. A formal briefing session for candidates may be given by the invigilators or heads of subject.

Our published rules on acceptable dress and behaviour apply at all times. Candidates' personal belongings remain their own responsibility and we accept no liability for their loss or damage.

In an exam room, candidates must not have access to items other than those clearly allowed in the instructions on the question paper, the stationery list, or the specification for that subject.

This is particularly true of mobile phones and other electronic devices with text or digital facilities.

Any precluded items must not be taken into the exam room.

Disruptive candidates are dealt with in accordance with JCQ guidelines. Candidates are expected to stay for the full exam time at the discretion of the head of centre.

Candidates who leave an exam room must be accompanied by an appropriate member of staff at all times.

The head of centre is responsible for handling late or absent candidates on exam day.

## 16. Special consideration

If a candidate is unable to attend an exam because of illness, bereavement, or other trauma, or if a candidate becomes ill or otherwise disadvantaged during an exam, they are responsible for alerting the head of centre to that effect.

The candidate must support any special consideration claim with appropriate evidence within 2 days of the exam.

The exams officer will make a special consideration application to the relevant awarding body within 1 days of the exam.

## 17. GCE and GCSE non-examination assessments

Teaching staff who deliver non-examination assessments will follow the correct specifications and the specification and instructions provided by the awarding body, or JCQ [instructions for conducting non-examination assessments](#) if appropriate.

Please see internal moderation policy.

It is the duty of heads of subject to ensure that all non-examination assessment is ready for dispatch at the correct time. The head of centre will assist by keeping a record of each dispatch, including the recipient details, and the date and time sent.

Marks for internally assessed work are provided to the exams office by likely heads of subject. The exams officer will inform staff of the deadline date for appeals against internal assessments.

Any appeals will be dealt with in accordance with our internal appeals procedure document.

See internal appeals policy

### 17.1 Artificial intelligence (AI)

Artificial intelligence (AI) tools are now widespread and easy to access. Staff, pupils and parents/carers may be familiar with generative chatbots such as ChatGPT and Google Bard. KWS School recognises that AI has many uses to help pupils learn, but may also lend itself to cheating and plagiarism.

Pupils **may not** use AI tools:

During assessments, including internal and external assessments, and coursework

To write their homework or class assignments, where AI-generated text is presented as their own work

Pupils **may** use AI tools:

As a research tool to help them find out about new topics and ideas

When specifically studying and discussing AI in schoolwork, for example in IT lessons or art homework about AI-generated images. All AI-generated content must be properly attributed

Where a pupil uses an AI tool, the pupil should retain a copy of the question(s) asked and the AI-generated responses. Pupils must submit this along with the assessment.

Staff should:

Be aware that AI tools are still being developed and should use such tools with caution as they may provide inaccurate, inappropriate or biased content

Make students aware of the risks of using AI tools and that they need to appropriately reference AI as a source of information to maintain the integrity of assessments

For more information on AI misuse, see [guidance from JCQ on AI use in assessments](#). Any misuse of AI tools may be treated as malpractice.

## 18. Results and certificates

Candidates will receive individual results slips on results days in person at school or via email.

The results slip will be in the form of a centre-produced document.

Arrangements for the centre to be open on results days are made by the head of centre.

The provision of the necessary staff on results days is the responsibility of the head of centre.

Dates of results days each year will be publicised for all candidates through the schools website.

### 18.1 Enquiries about results (EARs)

EARs may be requested by centre staff or the candidate following the release of results.

A request for a re-mark or clerical check requires the written consent of the candidate. A request for a re-moderation of internally assessed work may be submitted without the consent of a group of candidates.

The cost of EARs will be paid by the centre

All decisions about whether to make an application for an EAR will be made by heads of subject.

If a candidate's request for an EAR is not supported, the candidate may appeal and we will respond by following the process in our internal appeals procedure document.

All processing of EARs will be the responsibility of the head of centre, following the JCQ guidance.

### 18.2 Access to scripts (ATS)

After the release of results, candidates may ask subject staff to request the return of written exam papers within 15 days of the receipt of results.

Centre staff may also request scripts for investigation or for teaching purposes. For the latter, the consent of candidates must be obtained.

Applications for EARs cannot be submitted once an original script has been returned.

Head of centre is responsible for processing requests for ATS.

The cost of ATS will be paid by the candidate.

The cost of ATS is set out in section 7 of this policy ('Exam fees').

## 19. Monitoring and review

The head of centre is responsible for ensuring that this policy is reviewed every 3 years unless there are changes to:

- The exams system

- JCQ guidance

- Your curriculum offer